

# Bolzano-Weierstraß properties in generalised analysis

Benedikt Löwe



STUK 5: *Set Theory in the United Kingdom*  
Royal Society, London, England  
11 February 2020

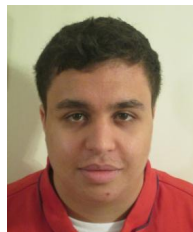
## Joint work with:



Merlin Carl



Lorenzo Galeotti



Aymane Hanafi

M. Carl, L. Galeotti, B. Löwe, The Bolzano-Weierstraß theorem in generalised analysis, *Houston Journal of Mathematics* 44:4 (2018), 1081-1109.

L. Galeotti, A. Hanafi, B. Löwe, Bolzano-Weierstraß properties in generalised analysis, *in preparation*.

## Completeness (1).

Let  $(K, +, \cdot, 0, 1, \leq)$  be an **ordered field**. Note that  $\mathbb{N} \subseteq K$  and thus  $\mathbb{Q} \subseteq K$ .

## Completeness (1).

Let  $(K, +, \cdot, 0, 1, \leq)$  be an **ordered field**. Note that  $\mathbb{N} \subseteq K$  and thus  $\mathbb{Q} \subseteq K$ .

**Theorem.** Up to isomorphism, there is a unique complete ordered field.

## Completeness (1).

Let  $(K, +, \cdot, 0, 1, \leq)$  be an **ordered field**. Note that  $\mathbb{N} \subseteq K$  and thus  $\mathbb{Q} \subseteq K$ .

**Theorem.** Up to isomorphism, there is a unique complete ordered field.

What do we mean by “complete”?

## Completeness (1).

Let  $(K, +, \cdot, 0, 1, \leq)$  be an **ordered field**. Note that  $\mathbb{N} \subseteq K$  and thus  $\mathbb{Q} \subseteq K$ .

**Theorem.** Up to isomorphism, there is a unique complete ordered field.

What do we mean by “complete”?

*Option 1.* Dedekind complete.

*Option 2.* Cauchy complete.

## Completeness (1).

Let  $(K, +, \cdot, 0, 1, \leq)$  be an **ordered field**. Note that  $\mathbb{N} \subseteq K$  and thus  $\mathbb{Q} \subseteq K$ .

**Theorem.** Up to isomorphism, there is a unique **Dedekind** complete ordered field.

What do we mean by “complete”?

*Option 1.* Dedekind complete.

*Option 2.* Cauchy complete.

## Completeness (1).

Let  $(K, +, \cdot, 0, 1, \leq)$  be an **ordered field**. Note that  $\mathbb{N} \subseteq K$  and thus  $\mathbb{Q} \subseteq K$ .

**Theorem.** Up to isomorphism, there is a unique **Dedekind** complete ordered field.

What do we mean by “complete”?

*Option 1.* Dedekind complete.

*Option 2.* Cauchy complete.

[If  $K$  is Dedekind complete, then  $K$  is Archimedean: if not, then  $\mathbb{N}$  is bounded, thus has a supremum  $s$ , but  $s - 1$  is a smaller upper bound. Contradiction!]



# Completeness (1).

Let  $(K, +, \cdot, 0, 1, \leq)$  be an **ordered field**. Note that  $\mathbb{N} \subseteq K$  and thus  $\mathbb{Q} \subseteq K$ .

**Theorem.** Up to isomorphism, there is a unique **Dedekind** complete ordered field.

What do we mean by “complete”?

*Option 1.* Dedekind complete.

*Option 2.* Cauchy complete.

[If  $K$  is Dedekind complete, then  $K$  is Archimedean: if not, then  $\mathbb{N}$  is bounded, thus has a supremum  $s$ , but  $s - 1$  is a smaller upper bound. Contradiction!]

Let  $\text{bn}(K) := \min\{\kappa; \text{there is a descending sequence of length } \kappa \text{ converging to } 0\}$ . A field  $K$  is Archimedean if and only if  $\text{bn}(K) = \aleph_0$ .

## Completeness (2).

What about Cauchy completeness?

## Completeness (2).

What about Cauchy completeness?

Any ordered field  $K$  is dense in its Cauchy completion  $\overline{K}$  and so  $\text{bn}(K) = \text{bn}(\overline{K})$ . The Cauchy completion of any non-Archimedean field is a Cauchy complete non-Archimedean field.

Thus, the theorem is not true for Cauchy completeness.

## Completeness (2).

What about Cauchy completeness?

Any ordered field  $K$  is dense in its Cauchy completion  $\overline{K}$  and so  $\text{bn}(K) = \text{bn}(\overline{K})$ . The Cauchy completion of any non-Archimedean field is a Cauchy complete non-Archimedean field.

Thus, the theorem is not true for Cauchy completeness.

*Goal of generalised analysis:* Fix an uncountable  $\kappa$  and find a field  $K$  with  $\text{bn}(K) = \kappa$  that is similar to the real numbers  $\mathbb{R}$ .

# Generalised real numbers (1).

**We want:** INTERMEDIATE VALUE THEOREM, EXTREME VALUE THEOREM, BOLZANO-WEIERSTRASS, HEINE-BOREL, etc.

# Generalised real numbers (1).

We want: INTERMEDIATE VALUE THEOREM, EXTREME VALUE THEOREM, BOLZANO-WEIERSTRASS, HEINE-BOREL, etc.

R. Sikorski. On an ordered algebraic field. *Sprawozdania z Posiedzeń Wydziału III Towarzystwo Naukowe Warszawskie Nauk Matematyczno-Fizycznych*, 41:69–96, 1948.

1. Start with  $\kappa$ ;
2. form  $\kappa\text{-}\mathbb{Z}$ ;
3. take the quotient field  $\kappa\text{-}\mathbb{Q}$ .

# Generalised real numbers (1).

We want: INTERMEDIATE VALUE THEOREM, EXTREME VALUE THEOREM, BOLZANO-WEIERSTRASS, HEINE-BOREL, etc.

R. Sikorski. On an ordered algebraic field. *Sprawozdania z Posiedzeń Wydziału III Towarzystwo Naukowe Warszawskie Nauk Matematyczno-Fizycznych*, 41:69–96, 1948.

1. Start with  $\kappa$ ;
2. form  $\kappa\text{-}\mathbb{Z}$ ;
3. take the quotient field  $\kappa\text{-}\mathbb{Q}$ .

**Theorem** (Sikorski). If  $\kappa$  is uncountable, then  $\kappa\text{-}\mathbb{Q}$  satisfies Bolzano-Weierstraß. In particular, it is Cauchy complete.

# Generalised real numbers (1).

We want: INTERMEDIATE VALUE THEOREM, EXTREME VALUE THEOREM, BOLZANO-WEIERSTRASS, HEINE-BOREL, etc.

R. Sikorski. On an ordered algebraic field. *Sprawozdania z Posiedzeń Wydziału III Towarzystwo Naukowe Warszawskie Nauk Matematyczno-Fizycznych*, 41:69–96, 1948.

1. Start with  $\kappa$ ;
2. form  $\kappa\text{-}\mathbb{Z}$ ;
3. take the quotient field  $\kappa\text{-}\mathbb{Q}$ .

**Theorem** (Sikorski). If  $\kappa$  is uncountable, then  $\kappa\text{-}\mathbb{Q}$  satisfies Bolzano-Weierstraß. In particular, it is Cauchy complete.

Unfortunately,  $\kappa\text{-}\mathbb{Q}$  does not satisfy the intermediate value theorem.



## Generalised real numbers (2).

**Definition.** An ordered field  $K$  is called *saturated* if for any sets  $L, R$  with  $|L|, |R| < \text{bn}(K)$  such that  $L < R$ , there is an  $x \in K$  such that  $L < x < R$ .

## Generalised real numbers (2).

**Definition.** An ordered field  $K$  is called *saturated* if for any sets  $L, R$  with  $|L|, |R| < \text{bn}(K)$  such that  $L < R$ , there is an  $x \in K$  such that  $L < x < R$ .

L. Galeotti. A candidate for the generalised real line. In: *Pursuit of the Universal: Proceedings CiE 2016* (2016), 271–281.

1. Start with  $\text{No}_{<\kappa}$ , the field of surreal numbers with sequence representations of length  $< \kappa$ ;
2. take the Cauchy completion  $\mathbb{R}_\kappa$ .

## Generalised real numbers (2).

**Definition.** An ordered field  $K$  is called *saturated* if for any sets  $L, R$  with  $|L|, |R| < \text{bn}(K)$  such that  $L < R$ , there is an  $x \in K$  such that  $L < x < R$ .

L. Galeotti. A candidate for the generalised real line. In: *Pursuit of the Universal: Proceedings CiE 2016* (2016), 271–281.

1. Start with  $\text{No}_{<\kappa}$ , the field of surreal numbers with sequence representations of length  $< \kappa$ ;
2. take the Cauchy completion  $\mathbb{R}_\kappa$ .

**Theorem** (Galeotti). The field  $\mathbb{R}_\kappa$  is saturated and satisfies the intermediate value theorem for  $\kappa$ -continuous functions.

# Saturation & Bolzano-Weierstraß.

**Theorem** (CGHL). If  $K$  has base number  $\kappa$  and is saturated, then it does not satisfy Bolzano-Weierstraß.

# Saturation & Bolzano-Weierstraß.

**Theorem** (CGHL). If  $K$  has base number  $\kappa$  and is saturated, then it does not satisfy Bolzano-Weierstraß.

**Definition.** An ordered field  $K$  with base number  $\kappa$  is called *spherically complete* if for any  $\lambda < \kappa$  and any family  $(I_\alpha; \alpha < \lambda)$  of nested intervals,  $\bigcap_{\alpha < \lambda} I_\alpha \neq \emptyset$ .

# Saturation & Bolzano-Weierstraß.

**Theorem** (CGHL). If  $K$  has base number  $\kappa$  and is saturated, then it does not satisfy Bolzano-Weierstraß.

**Definition.** An ordered field  $K$  with base number  $\kappa$  is called *spherically complete* if for any  $\lambda < \kappa$  and any family  $(I_\alpha; \alpha < \lambda)$  of nested intervals,  $\bigcap_{\alpha < \lambda} I_\alpha \neq \emptyset$ .

**Theorem** (GHL). If a spherically complete  $K$  has base number  $\kappa$  and satisfies Bolzano-Weierstraß, then  $\kappa$  is weakly compact.

## Weakening Bolzano-Weierstraß (1).

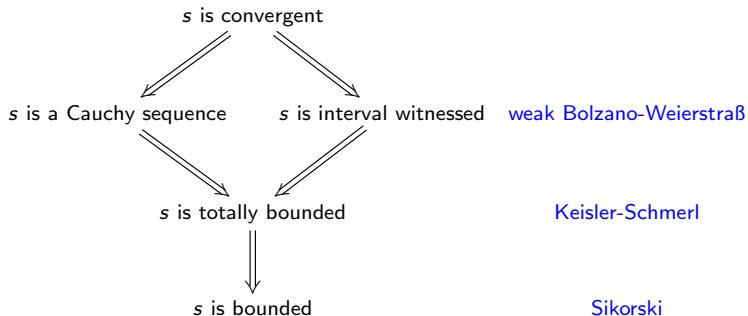
A sequence  $s$  is *totally bounded* if for all  $\varepsilon \in K^+$  there is  $\beta_\varepsilon < \kappa$  such that for all  $\beta < \kappa$  there is  $\gamma < \beta_\varepsilon$  and  $|s(\beta) - s(\gamma)| < \varepsilon$ .

The sequence  $s$  is called *interval witnessed* if for every  $\varepsilon \in K^+$  there is a family of size  $< \kappa$  of intervals of length  $< \varepsilon$  that covers almost all elements of  $s$ .

## Weakening Bolzano-Weierstraß (1).

A sequence  $s$  is *totally bounded* if for all  $\varepsilon \in K^+$  there is  $\beta_\varepsilon < \kappa$  such that for all  $\beta < \kappa$  there is  $\gamma < \beta_\varepsilon$  and  $|s(\beta) - s(\gamma)| < \varepsilon$ .

The sequence  $s$  is called *interval witnessed* if for every  $\varepsilon \in K^+$  there is a family of size  $< \kappa$  of intervals of length  $< \varepsilon$  that covers almost all elements of  $s$ .





## Weakening Bolzano-Weierstraß (2).

Both Keisler-Schmerl and Sikorski imply that the field is Cauchy complete (since every Cauchy sequence is totally bounded).

## Weakening Bolzano-Weierstraß (2).

Both Keisler-Schmerl and Sikorski imply that the field is Cauchy complete (since every Cauchy sequence is totally bounded).

**Lemma** (GHL). The weak Bolzano-Weierstraß property is preserved by thinning out the field: if  $K$  lies cofinal in  $L$  and  $L$  has the weak Bolzano-Weierstraß property, then so does  $K$ .

## Weakening Bolzano-Weierstraß (2).

Both Keisler-Schmerl and Sikorski imply that the field is Cauchy complete (since every Cauchy sequence is totally bounded).

**Lemma** (GHL). The weak Bolzano-Weierstraß property is preserved by thinning out the field: if  $K$  lies cofinal in  $L$  and  $L$  has the weak Bolzano-Weierstraß property, then so does  $K$ .

**Theorem** (GHL). For an ordered field  $K$ , the following are equivalent:

1.  $K$  is Cauchy complete and has the weak Bolzano-Weierstraß property and
2.  $K$  has the Keisler-Schmerl property.

# Weak Bolzano-Weierstraß & the tree property.

**Theorem** (CGHL). Let  $\kappa$  be uncountable and  $K$  be a spherically complete ordered field of base number  $\kappa$ . Then the following are equivalent:

1.  $K$  has the weak Bolzano-Weierstraß property and
2.  $\kappa$  has the tree property.