

Minimal Magidor-type Forcing (Countable Case)

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Prikry Forcing

Suppose κ is a measurable cardinal, U is a normal measure over κ .

Definition

Let $\mathcal{P} = \{\langle p, A \rangle \mid p \in [\kappa]^{<\omega} \text{ and } A \in U \text{ with } \min(A) > \max(p)\}$,
and for $\langle p, A \rangle, \langle q, B \rangle \in \mathcal{P}$, $\langle p, A \rangle \leq \langle q, B \rangle$ iff

- 1 p is a end extension of q , i.e., $p \cap (\max(q) + 1) = q$;
- 2 $A \subseteq B$;
- 3 $p \setminus q \subseteq B$.

Intermediate Models of Prikry Forcing

Theorem (Gitik-Kanovei-Koepke, 2010)

Suppose $V[G]$ is a generic extension given by Prikry forcing. Then for any $X \in V[G]$, there is a subset $C' \subseteq C_G$ such that $V[X] = V[C']$. Furthermore, the order structure of intermediate models under inclusion is isomorphic to $\mathcal{P}(\omega)/\text{finite}$.

Several forcings adding reals are minimal, i.e. there are only trivial intermediate transitive models of ZFC between the ground model and its generic extension.

Question (Koepke-Rasch-Schlicht)

Is there any minimal Prikry-type forcing, which preserves all cardinals while singularizing a measurable cardinal?

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Theorem (Koepke-Rasch-Schlicht, 2013)

There is a minimal Prikry-type forcing \mathbb{P}_U .

Definition of $\mathbb{P}_{\mathcal{U}}$

Fix a sequence $\mathcal{U} = \langle U_\alpha \mid \alpha < \kappa \rangle$ of pairwise disjoint normal measures over κ , and a sequence $\langle A_\alpha \mid \alpha < \kappa \rangle$ of pairwise disjoint subsets of κ such that $A_\alpha \in U_\alpha$ for any $\alpha < \kappa$.

Definition

A set $T \subseteq [\kappa]^{<\omega}$ is called a \mathcal{U} -tree with trunk t iff

- 1 $\langle T, \trianglelefteq \rangle$ is a tree;
- 2 $t \in T$ and for any $s \in T$, $s \trianglelefteq t$ or $t \trianglelefteq s$;
- 3 For any $s \in T$ with $t \trianglelefteq s$,
$$\text{Succ}_T(s) = \{\alpha \mid s \frown \langle \alpha \rangle \in T\} \in U_{\max(s)}.$$

For simplicity, assume $\text{Succ}_T(s) = \{\alpha \mid s \frown \langle \alpha \rangle \in T\} \subseteq A_{\max(s)}$.

Definition

Let $\mathbb{P}_{\mathcal{U}} = \{\langle t, T \rangle \mid T \text{ is a } \mathcal{U}\text{-tree with trunk } t\}$, and for any $\langle s, S \rangle, \langle t, T \rangle \in \mathbb{P}_{\mathcal{U}}$,

- 1 $\langle s, S \rangle \leq \langle t, T \rangle \iff S \subseteq T$;
- 2 $\langle s, S \rangle \leq^* \langle t, T \rangle \iff S \subseteq T \text{ and } s = t$.

Combinatorial Property

Lemma

For a condition $\langle t, T \rangle \in \mathbb{P}_{\mathcal{U}}$, for any $s \in T$ with $t \trianglelefteq s$ and $n > 0$, if $c : Lev_{T \upharpoonright s}(|s| + n) \rightarrow \kappa$, where $T \upharpoonright s = \{r \in T \mid s \trianglelefteq r \text{ or } r \trianglelefteq s\}$, there is an \mathcal{U} -tree $S \subseteq T$ with the trunk t , and an $m \leq n$ such that for any $u, v \in Lev_{S \upharpoonright s}(|s| + n)$,

$$c(u) = c(v) \text{ iff } u \upharpoonright (|s| + m) = v \upharpoonright (|s| + m).$$

Theorem (Koepe-Rasch-Schlicht, 2013)

Suppose $V[G]$ is a \mathbb{P}_U -generic extension, then for any $X \in V[G]$, either $X \in V$ or $V[X] = V[G]$.

Sketch of proof: We only consider the case: $X \subseteq \kappa$, $X \notin V$ and $X \cap \alpha \in V$ for any $\alpha < \kappa$. Fix a condition $\langle t, T \rangle$.

Claim: $V[X] \models \text{cf}(\kappa) = \omega$.

Theorem (Koepke-Rasch-Schlicht, 2013)

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Claim: $V[X] \models \text{cf}(\kappa) = \omega$.

There is a direct extension $\langle t, T' \rangle \leq^* \langle t, T \rangle$ such that for any $r \in T'$ with $t \sqsubseteq r$, there is an $A(r)$ such that for any $r' \in \text{Succ}'_{T'}(r)$, $\langle r', T' \upharpoonright r' \rangle \Vdash \dot{X} \cap \max(r') = A(r) \cap \max(r')$. Since $X \notin V$, $X \neq A(r)$. Let α_r be the minimal α such that $X \cap \alpha \neq A(r) \cap \alpha$. Level by level, we can get a cofinal sequence of κ using the information of X .

Let $\langle x_m \mid m < \omega \rangle \in V[X]$ witnesses this;

For each x_m , by the combinatorial property, we can thin out T' to S , so that there is a $n_m < \omega$ such that for any $u, v \in S^{|t|+n_m}$, $u = v$ iff the values of x_m decided by $\langle u, S \upharpoonright u \rangle$ and $\langle v, S \upharpoonright v \rangle$ are the same one, which means that the value of x_m and $C_G \upharpoonright (|t| + n_m)$ are mutually computable.

Since $X \notin V$, so from X , we can compute the whole C_G .

Theorem (Benhamou and Gitik)

Let \vec{U} be a coherent sequence such that $o^{\vec{U}}(\kappa) < \kappa$. Then for every V -generic filter $G \subseteq \mathbb{M}[\vec{U}]$, and every $A \in V[G]$, there is $C_0 \subseteq C_G$ such that $V[A] = V[C_0]$.

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Question

Is there any Magidor-type forcing \mathbb{M} , which satisfies some similar minimal property?

- 1** (Weak form) If $X \in V[G]$, and in $V[X]$, $C_G(\delta)$ is singularized, then $V[C_G \upharpoonright \delta] \subseteq V[X]$;

Theorem

Suppose δ is a countable limit ordinal, $V[G]$ is a generic extension given by a minimal Magidor-type forcing $\mathbb{M}_\delta[\vec{U}]$. Then for any $X \in V[G]$, there is a $\delta' \leq \delta$ such that $V[X] = V[C_G \upharpoonright \delta']$.

Let us fix a sequence of pairwise disjoint normal measures $\vec{U} = \langle U_x^\alpha \mid \alpha < \omega_1, x \in [\kappa]^{<\omega} \rangle$ over κ , a sequence of pairwise disjoint subset $\vec{A} = \langle A_x^\alpha \mid \alpha < \omega_1, x \in [\kappa]^{<\omega} \rangle$ of κ and a sequence of function $\langle h_\alpha \mid \alpha < \omega_1 \rangle$ such that

- 1** $U_x^\alpha \triangleleft U_y^\beta$ for any $\alpha < \beta < \omega_1$ and $x, y \in [\kappa]^{<\omega}$, where $U_x^\alpha \triangleleft U_y^\beta$ means $U_x^\alpha \in \text{Ult}(U_y^\beta, \mathcal{V})$;
- 2** For any $\alpha < \omega_1$ and $x, y \in [\kappa]^{<\omega}$, $A_x^\alpha \in U_x^\alpha$ and $\min(A_x^\alpha) > \max(x \cup \{\omega_1\})$;
- 3** For any $\alpha < \beta < \omega_1$ and $x \in [\kappa]^{<\omega}$, $[h_\alpha]_{U_x^\beta} = \langle U_y^\alpha \mid y \in [\kappa]^{<\omega} \rangle$, and if $\gamma \in A_x^\beta$, $h_\alpha(\gamma) = \langle u_y^\alpha \mid y \in [\gamma]^{<\omega} \rangle$ is a sequence of normal measures over γ , and $A_y^\alpha \cap \gamma \in u_y^\alpha$ for any $y \in [\gamma]^{<\omega}$.

For simplicity, let $h_\alpha(\kappa) = \langle U_x^\alpha \mid x \in [\kappa]^{<\omega} \rangle$ for every $\alpha < \omega_1$.

Fix a countable limit ordinal δ .

Definition

A function $l: \delta \rightarrow \omega$ is a level function of δ iff for any $\alpha = \omega^{\alpha_0} + \dots + \omega^{\alpha_k} < \delta$ with $\alpha_0 \geq \dots \geq \alpha_k$ and $k > 0$,

- 1 $l(\omega^{\alpha_0} + \dots + \omega^{\alpha_k}) > l(\omega^{\alpha_0} + \dots + \omega^{\alpha_{k-1}})$;
- 2 For any $n < \omega$, $|l^{-1}(n)| < \omega$.

Definition

Suppose l is a level function of δ , let $K_l = \langle \delta, \preceq \rangle$, where $\forall \alpha, \beta < \delta$ $\alpha \preceq \beta$ iff $\alpha \leq \beta$ and $l(\alpha) \leq l(\beta)$.

Definition

l is a minimal level function of δ iff K_l satisfies that for any unbounded subset x of some limit ordinal $\beta \leq \delta$, $\bar{x} = \{\alpha < \beta \mid \exists \gamma \in x (\alpha \preceq \gamma)\} = \beta$, i.e., the downward closure of x in K_l is β .

For any such l , we will define a minimal Magidor-type forcing $M_l[\vec{U}]$.

Definition

We define a function l with $\text{dom}(l) = \{(\alpha, \beta) \mid \alpha \text{ is a countable limit ordinal and } \beta < \alpha\}$ by induction.

- 1 $l(\omega, k) = k$ for $k < \omega$;
- 2 If $\alpha = \omega^\gamma$, where γ is a successor ordinal, let $\alpha_k = \omega^{\gamma-1} \cdot k$ for $k < \omega$;

If $\alpha = \omega^\gamma$, where γ is a limit ordinal, let $\langle \gamma_k \mid 0 < k < \omega \rangle$ be a cofinal increasing sequence of γ .

Let $\alpha_k = \omega^{\gamma_k}$ if $0 < k < \omega$, and $\alpha_0 = 0$.

For $i < \alpha_1$, let $l(\alpha, i) = l(\alpha_1, i)$;

For $\alpha_k \leq i < \alpha_{k+1}$, let $l(\alpha, i) = l(\alpha_{k+1} - \alpha_k, i - \alpha_k) + k - 1$;

- 3 If $\alpha = \omega^{\gamma_1} + \dots + \omega^{\gamma_n}$ with $\gamma_1 \geq \gamma_2 \geq \dots \geq \gamma_n > 0$. Let

$\alpha_k = \sum_{0 < j < k+1} \omega^{\gamma_j}$ for $0 \leq k < n+1$.

For $\alpha_k \leq i < \alpha_{k+1}$ with some $0 \leq k < n+1$, let

$l(\alpha, i) = l(\alpha_{k+1} - \alpha_k, i - \alpha_k) + k - 1$.



Lemma

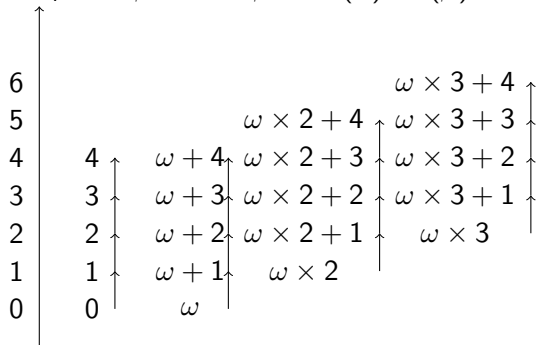
Let $l_\delta(\alpha) = l(\delta, \alpha)$ for $\alpha < \delta$. Then l_δ is a minimal level function of δ .

We can prove this by induction. For $\alpha = \omega^{\alpha_0} + \dots + \omega^{\alpha_k} < \delta$ with $i_0 \geq \dots \geq i_k$ and $k > 0$, α and $\alpha' = \omega^{i_0} + \dots + \omega^{i_{k-1}}$ is in the same part, or $\alpha' = \alpha_m$ and $\alpha = \alpha_{m+1}$. In both cases, $l(\alpha') < l(\alpha)$;
For $\alpha_k \leq i < \alpha_{k+1}$, $l(\alpha, i) = l(\alpha_{k+1} - \alpha_k, i - \alpha_k) + k - 1 \geq k - 1$,
so $|I^{-1}(n)| < \omega$ by induction.

Example $\delta = \omega^2$

$$\Gamma^{-1}(n) = \{\omega \times (n+1), \omega \times n + 1, \dots, \omega + n, n\}.$$

In K_I , $\alpha \prec \beta$ iff $\alpha < \beta$ and $I(\alpha) < I(\beta)$.



Notation

Fix a level function l of δ , and for simplicity, we will omit the l , i.e. we will say K rather than K_l and $\mathbb{M}[\vec{U}]$ rather than $\mathbb{M}_l[\vec{U}]$.

We say $x \in [\kappa]^{<\omega}$, means that $x \in [\kappa \setminus \omega_1]^{<\omega}$, and $|x \cap A_y^\alpha| \leq 1$ for any $\alpha < \omega_1$, $y \in [\kappa]^{<\omega}$ with $\min(y) < \delta$. So we can view x as a partial function from a finite initial subset of K to κ , where for $\alpha \in x$ with $\alpha \in A_y^\beta$, $x(\min(y)) = \alpha$.

For $a \subset K$, we mean a is a finite initial subset of K . Fix a $x \in [\kappa]^{<\omega}$, $a \subset K$ and $\alpha < \delta$.

- 1 $x^- = x \setminus \{\max(x)\}$;
- 2 $\bar{a} = \{\beta \leq \alpha \mid \beta \preceq \alpha\}$;
- 3 $\alpha^- = (\bar{\alpha})^-$;
- 4 $a^- = a \setminus \{\max(a)\}$;
- 5 $a_s = \{\beta < \delta \mid \forall \alpha \prec \beta \ \alpha \in a\}$.

Definition

For an $x \in [\kappa]^{<\omega}$ with $\text{dom}(x) = b$, and $b \subseteq a \subseteq K$, suppose for any $c \subsetneq a$, we have defined D_x^c . Let $\alpha = \max(a \setminus b)$.

If $\alpha = \max(a)$, for $X \subseteq [\kappa]^{<\omega}$, $X \in D_x^a$ iff

$$\{y \mid \{\bar{\beta} \mid \beta < \kappa, y \cup \{\beta\} \in X\} \in U_{\{\alpha\} \cup x \upharpoonright \alpha^-}^{\alpha(\alpha)}\} \in D_x^{a^-}$$

If $\alpha < \max(a)$, let $\gamma = \min(b \setminus (\alpha + 1))$. For $X \subseteq [\kappa]^{<\omega}$, $X \in D_x^a$ iff

$$\{y \mid \{\bar{\beta} \mid \beta < \kappa, y \cup \{\beta\} \in X\} \in h_{\alpha(\alpha)}(x(\gamma))(\{\alpha\} \cup y \upharpoonright \beta^-)\} \in D_x^{a^-}$$

Definition

A set $T \subseteq [\kappa]^{<\omega}$ is a \vec{U} -tree with trunk t iff

- 1 (T, \trianglelefteq) is a tree;
- 2 For every $s \in T$, $s \upharpoonright \text{dom}(t) \subseteq t$;
- 3 For $t \trianglelefteq u$ with $a = \text{dom}(u)$,
 $\text{Succ}_T(u) = \{r \in T \mid \text{dom}(r) = a_s, r \upharpoonright a = u\} \in D_u^{a_s}$.

Definition

Let $\mathbb{M}[\vec{U}] = \{\langle t, T \rangle \mid T \text{ is a } \vec{U}\text{-tree with trunk } t\}$, and for $\langle s, S \rangle, \langle t, T \rangle \in \mathbb{M}[\vec{U}]$,

- 1 $\langle s, S \rangle \leq \langle t, T \rangle$ iff $S \subseteq T$;
- 2 $\langle s, S \rangle \leq^* \langle t, T \rangle$ iff $S \subseteq T$ and $s = t$.

In the latter case, we call $\langle s, S \rangle$ is a direct extension of $\langle t, T \rangle$.

Combinatorial Properties

Definition

For $u, v \in [\kappa]^{<\omega}$, let $\text{type}(u, v) \in 3^{|u \cup v|}$ denote the order configuration of u and v , i.e., if $s = \langle \xi_i \mid i < k \rangle$ is the strict increasing sequence with $u \cup v = s$,

$$\text{type}(u, v)(i) = \begin{cases} 0, & \text{if } \xi_i \in u - v, \\ 1, & \text{if } \xi_i \in u \cap v, \\ 2, & \text{if } \xi_i \in v - u. \end{cases}$$

Combinatorial Properties

Lemma

For $i = 1, 2$, if $t_i \subseteq a_i$, $X_i \in D_{t_i}^{a_i}$, and $F: X_1 \times X_2 \rightarrow \lambda$ for some λ less than the least measurable cardinal, then there are some $Y_i \in D_{t_i}^{a_i}$ such that for any $u \in Y_1$ and $v \in Y_2$, the value of F only depends on $\text{type}(u, v)$.

Lemma

Let $X \in D_t^a$, where $\text{dom}(t) \subseteq a$, and $F: X \rightarrow \kappa$. Then we have a $Y \in D_t^a$ and a $\text{dom}(t) \subseteq b \subseteq a$ such that

$$\forall x, y \in Y (F(x) = F(y) \leftrightarrow x \upharpoonright b = y \upharpoonright b). \quad (1)$$

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$$\forall x, y \in Y (F(x) = F(y) \leftrightarrow x \upharpoonright b = y \upharpoonright b). \quad (1)$$

We prove this by induction. Thin out X to X' , so that there is an $I \subseteq a_t = \{\alpha \in a \mid \text{there is no } \beta \in a \text{ such that } \alpha \prec \beta\}$ such that $\forall u, v \in X' (u \upharpoonright (a - a_t) = v \upharpoonright (a - a_t) \rightarrow (F(u) = F(v) \leftrightarrow u \upharpoonright I = v \upharpoonright I))$

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$$\forall u, v \in X' (u \upharpoonright (a - a_t) = v \upharpoonright (a - a_t) \rightarrow (F(u) = F(v) \leftrightarrow u \upharpoonright I = v \upharpoonright I))$$

if $I \neq a_t$, by induction; if $I = a_t$, define

$$G(x, y) = \begin{cases} 0, & \text{if } F(x) = F(y); \\ 1, & \text{otherwise.} \end{cases}$$

By the above lemma, we can thin out X' to Y , so that for any $u, v \in Y$, if $u = v$ iff $F(u) = F(y)$.

Lemma

Suppose that Δ is an open dense subset of $M[\vec{U}]$, $p = \langle t, T \rangle \in M[\vec{U}]$. Then there is a direct extension $\langle t, T' \rangle \leq^ \langle t, T \rangle$ such that for any finite subset $\text{dom}(t) \subseteq a \subset K$, if some $\langle s, S \rangle \leq \langle t, T' \rangle$ with $t \sqsubseteq s$, $a = \text{dom}(s)$ and $\langle s, S \rangle \in \Delta$, then for any $\langle r, R \rangle \leq \langle t, T' \rangle$ with $a \subseteq \text{dom}(r)$, we have $\langle r, R \rangle \in \Delta$.*

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 some $\langle s, S \rangle \leq \langle t, T' \rangle$ with $t \sqsubseteq s$, $a = \text{dom}(s)$ and $\langle s, S \rangle \in \Delta$, then
 for any $\langle r, R \rangle \leq \langle t, T' \rangle$ with $a \subseteq \text{dom}(r)$, we have $\langle r, R \rangle \in \Delta$.

Proof.

Let $F: T^a \rightarrow 2$ such that for any $s \in T^a$,

$$F(s) = \begin{cases} 0, & \text{if } \exists \langle s, T_s \rangle \leq^* \langle s, T \upharpoonright s \rangle \text{ such that } \langle s, T_s \rangle \in \Delta, \\ 1, & \text{otherwise.} \end{cases}$$

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 $\langle t, T' \rangle \leq^* \langle t, T \rangle$ such that for any finite subset $\text{dom}(t) \subseteq a \subset K$, if
 some $\langle s, S \rangle \leq \langle t, T' \rangle$ with $t \restriction a \leq s$, $a = \text{dom}(s)$ and $\langle s, S \rangle \in \Delta$, then
 for any $\langle r, R \rangle \leq \langle t, T' \rangle$ with $a \subseteq \text{dom}(r)$, we have $\langle r, R \rangle \in \Delta$.

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$$F(s) = \begin{cases} 0, & \text{if } \exists \langle s, T_s \rangle \leq^* \langle s, T \restriction s \rangle \text{ such that } \langle s, T_s \rangle \in \Delta, \\ 1, & \text{otherwise.} \end{cases}$$

Then there is a $Y \in D_t^a$ such that $F \restriction Y$ is a constant function. Let
 T_a be the amalgamation of T_s for every $s \in Y$. Let T' be the
 intersection of all these T_a .



Proposition

Suppose $\langle t, T \rangle \Vdash \dot{x} \in \text{Ord}$, then there exists a direct extension $\langle t, T' \rangle$ of $\langle t, T \rangle$ and a $\text{dom}(t) \subseteq a \subset K$ such that for every $\langle s, S \rangle \leq \langle t, T' \rangle$ with $\text{dom}(s) \supseteq a$, we have $\langle s, S \rangle \Vdash \dot{x}$.

Proof.

Let $\Delta = \{p \in M[\vec{U}] \mid p \Vdash \dot{x}\}$, we know Δ is a dense open set of $M[\vec{U}]$. So by the above Lemma, we are done. \square

Lemma

If $|A| < \lambda_0$, where λ_0 is the least measurable cardinal and A is a set of ordinals, then $V[A] = V[C_G \upharpoonright \delta']$ for some limit ordinal $\delta' \leq \delta$.

Proof.

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If $|A| < \lambda_0$, where λ_0 is the least measurable cardinal and A is a set of ordinals, then $V[A] = V[C_G \upharpoonright \delta']$ for some limit ordinal $\delta' \leq \delta$.

Proof.

By the above Lemma, for any $\langle t, T \rangle \Vdash \dot{x} \in Ord$, there exists a direct extension $\langle t, T' \rangle$ of $\langle t, T \rangle$ and a $dom(t) \subseteq a \subset K$ such that for every $\langle s, S \rangle \leq \langle t, T' \rangle$ with $dom(s) \supseteq a$, we have $\langle s, S \rangle \Vdash \dot{x}$;

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By the above Lemma, for any $\langle t, T \rangle \Vdash \dot{x} \in \text{Ord}$, there exists a direct extension $\langle t, T' \rangle$ of $\langle t, T \rangle$ and a $\text{dom}(t) \subseteq a \subset K$ such that for every $\langle s, S \rangle \leq \langle t, T' \rangle$ with $\text{dom}(s) \supseteq a$, we have $\langle s, S \rangle \parallel \dot{x}$; Now for any $u \in T'^a$, let $F(u) = \gamma$ iff $\langle u, T' \upharpoonright u \rangle \Vdash \dot{x} = \check{\gamma}$. We can thin out T'^a to X such that there is a $\text{dom}(t) \subseteq I \subseteq a$, for any $u, v \in X$, $F(u) = F(v)$ iff $u \upharpoonright I = v \upharpoonright I$. So if $A = \langle x_\xi \mid \xi < \eta \rangle$, A and $C_G \upharpoonright \bigcup_{\xi < \eta} I_\xi$ can be mutually computable. □

Lemma

Suppose $A \subseteq \kappa$, $A \cap \alpha \in V$ for any $\alpha < \kappa$ and $A \notin V$. For any $p = \langle s, S \rangle \in \mathbb{M}[\vec{U}]$, we can find a direct extension $p^* = \langle s, T \rangle \leq^* p$, and for any $\alpha < \delta$, a finite subset $\bar{\alpha} \subseteq a_\alpha \subseteq \alpha + 1$ such that

$$\forall r \in T^{a_\alpha} \langle r, T \upharpoonright r \rangle \parallel \dot{A} \cap \max(r). \quad (2)$$

From the Lemma, we can prove that in $V[A]$, there is a cofinal sequence $\langle \gamma_\xi \mid \xi < \eta \rangle$ of κ , where $\eta \leq \delta$ is limit, such that $\gamma_\xi \geq C_G(\xi)$ for any $\xi < \eta$.

Generalization: level function

For minimal level function l , we have the following correspondence:

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It seems that we can generalize this correspondence to level function of l .

Generalization: uncountable case

We can't directly generalize to uncountable case, since if so, the height of K will be uncountable, or at some level, there are uncountable elements.



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Thank You!